UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

24739

7590

02/01/2008

CENTRAL COAST PATENT AGENCY, INC 3 HANGAR WAY SUITE D WATSONVILLE, CA 95076 EXAMINER

DUONG, THOMAS

ART UNIT PAPER NUMBER

2145

DATE MAILED: 02/01/2008

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/759,926	01/12/2001	Stuart Berkowitz	668437600003	2772

TITLE OF INVENTION: COMPUTER-IMPLEMENTED VOICE APPLICATION INDEXING WEB SITE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1440	\$300	\$0	\$1740	05/01/2008

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450

Alexandria, Virginia 22313-1450 (571)-273-2885 or <u>Fax</u>

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

Note: A certificate of mailing can only be used for domestic mailings of the CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 24739 02/01/2008 Certificate of Mailing or Transmission CENTRAL COAST PATENT AGENCY, INC I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. 3 HANGAR WAY SUITE D WATSONVILLE, CA 95076 (Depositor's name (Signature (Date APPLICATION NO. FILING DATE ATTORNEY DOCKET NO. CONFIRMATION NO. FIRST NAMED INVENTOR 09/759,926 01/12/2001 Stuart Berkowitz 668437600003 2772 TITLE OF INVENTION: COMPUTER-IMPLEMENTED VOICE APPLICATION INDEXING WEB SITE APPLN. TYPE SMALL ENTITY ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE nonprovisional NO \$1440 \$300 \$0 \$1740 05/01/2008 **EXAMINER** ART UNIT CLASS-SUBCLASS DUONG, THOMAS 2145 709-217000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. or agents OR, alternatively, (2) the name of a single firm (having as a member a ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) 4a. The following fee(s) are submitted: lssue Fee A check is enclosed. Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number ______ (enclose an extra copy of this fo Advance Order - # of Copies _ (enclose an extra copy of this form). 5. Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ■ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. Authorized Signature Date Typed or printed name Registration No. This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	APPLICATION NO. FILING DATE		FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/759,926	01/12/2001		Stuart Berkowitz	668437600003	2772	
24739	7590	02/01/2008		EXAM	INER	
CENTRAL CO	AST PA	DUONG, THOMAS				
3 HANGAR WA		_	ART UNIT	PAPER NUMBER		
WATSONVILLE, CA 95076				2145		
			DATE MAILED: 02/01/2008			

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 282 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 282 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

	Application No.	Applicant(s)	
	09/759,926	BERKOWITZ ET AL.	
Notice of Allowability	Examiner	Art Unit	
	THOMAS DUONG	2145	
The MAILING DATE of this communication apperall claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in or other appropriate commu IGHTS. This application is s	this application. If not included inication will be mailed in due course. THIS	
1. This communication is responsive to <i>November 5, 2007</i> .			
2. X The allowed claim(s) is/are <u>1-5, 8-24, and 27-34</u> .			
 3. ☐ Acknowledgment is made of a claim for foreign priority ur a) ☐ All b) ☐ Some* c) ☐ None of the: 1. ☐ Certified copies of the priority documents have 2. ☐ Certified copies of the priority documents have 	e been received.		
Copies of the certified copies of the priority do	cuments have been received	d in this national stage application from the	
International Bureau (PCT Rule 17.2(a)).			
* Certified copies not received:			
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give	IENT of this application. itted. Note the attached EXA	MINER'S AMENDMENT or NOTICE OF	
5. CORRECTED DRAWINGS (as "replacement sheets") mus	. ,	designation to deficient.	
(a) ☐ including changes required by the Notice of Draftspers		(PTO-948) attached	
		7 (110 540) attached	
(b) ☐ including changes required by the attached Examiner's Paper No./Mail Date	s Amendment / Comment or		
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in the			
6. DEPOSIT OF and/or INFORMATION about the deposit attached Examiner's comment regarding REQUIREMENT			
Attachment(s) 1. ☑ Notice of References Cited (PTO-892)	5. □ Notice of Inf	formal Patent Application	
 In Notice of References Cited (PTO-892) In Notice of Draftperson's Patent Drawing Review (PTO-948) 		ummary (PTO-413),	
3. ☐ Information Disclosure Statements (PTO/SB/08),	Paper No./I	Mail Date Amendment/Comment	
Paper No./Mail Date 4. Examiner's Comment Regarding Requirement for Deposit		Statement of Reasons for Allowance	
of Biological Material	9. 🔲 Other	<u>-</u>	

Application/Control Number: 09/759,926 Page 2

Art Unit: 2145

DETAILED ACTION

Response to Arguments

1. The Applicants' arguments and amendments filed on November 5, 2007 have been fully considered and are persuasive.

Allowable Subject Matter

- 2. Claims 1-5, 8-24, and 27-34 are allowed. The claims indicated include limitations that the prior arts of record do not appear to teach or render obvious, hence they are allowed.
- 3. The following is an examiner's statement of reasons for allowance:

As presented in the previous Office Action, Dodrill et al. (US006738803B1) discloses, "the proxy browser 62 and the web browser 56 within the fat client 42a and the thin client 42b execute voice enabled web applications by sending data and requests to a web server 64" (Dodrill, col.7, lines 46-49) and "the web server 64 preferably serves as an interface between the browsers and an application server 66 that provides an executable runtime environment for XML voice applications 68" (Dodrill, col.7, lines 54-57). Hence, Dodrill teaches of the server receiving requests for accessing voice-enabled applications from clients over the network. In addition, Dodrill discloses, "for example, the web server 64 may access the application server 66 across a common Gateway Interface (CGI), by issuing a function call across an application programming interface (API), or by requesting a published XML document or an audio file requested by one of the browsers

Art Unit: 2145

56 and 62. The application server 66, in response to receiving a request from the web server 64, may either supply the requested information in the form of an HTML page having XML tags for audio control by a voice resource within the browser" (Dodrill, col.7, lines 57-66). Hence, Dodrill teaches of the web server accessing the XML voice applications on behalf of the user by requesting the XML documents via the HTTP connection. Therefore, in this particular embodiment, Dodrill teaches of two separate entities, which are the web server 64 and the application server 66, communicating via the HTTP connection on an Internet Protocol (IP) network.

Also presented in the previous Office Action, Butler et al. (US006460057B1) discloses, "a method of grouping data objects in a application processing system, said data objects having a plurality of data categories, said method comprising: associating a plurality of data objects with a group; arranging the data objects into a sub-group within said group, each sub-group corresponding to the data category of the data object; creating a representation of the sub-groups" (Butler, col.2, lines 6-12). According to Butler, "the database structures which implement the described solution. The category of each data object is stored in the object category table" (Butler, col.3, line 50-52). Hence, Butler teaches of storing in a database the voice application data in a category table (i.e., Applicants' taxonomy).

Also presented in the previous Office Action, Pickering (US006704708B1) discloses, "a method for processing in an interactive voice processing system comprising: receiving a voice signal from user interaction" (Pickering, col.2, lines 15-17), recognizing the user requested service from the user interaction, and passing the user requested service to the server for processing and responding to the user requested service. In addition, according to Pickering, "a Voice Response server is a Voice Response for Windows NT

Art Unit: 2145

clients. A variety of services are required, such as playing recorded voice segments or reading a database. The application manager 34 requests these services form the telephony server 40 or database server 42" (Pickering, col.5, lines 47-55). Hence, Pickering teaches of receiving the user's voice-enabled requested service through the network and searching the database for the corresponding application to the particular requested service.

However, the prior arts of record fail to teach or suggest individually or in combination as stated in the independent claims for "storing in a database the voice application data in accordance with a predetermined voice application taxonomy, wherein the taxonomy includes at least indexing voice applications based upon what resources are required to operate each voice application and type of telephony service provided;" and "receiving a request for a voice application based upon a user requiring a telephony service, wherein the request includes at least search criteria for selecting a voice application from the application data stored in the database including resources required for operation in the request and type of telephony service required by the user; and" and in combination with other limitations as set forth in the independent claims, as well as Applicants' arguments presented on pages 9-10 of the After Non-Final filed on November 5, 2007. In the fore mentioned amendment, the Applicants argued, "Regarding the Examiner's reliance upon Butler to teach storing voice application data according to taxonomy of the application, applicant herein amends claims 1 and 20 to recite that the voice application data is stored in accordance with a predetermined voice application taxonomy, wherein the taxonomy includes at least index, bag voice applications based upon what resources arc required to operate each voice application and type of telephony service represented by

Application/Control Number: 09/759,926 Page 5

Art Unit: 2145

the application data. Therefore, applicant argues the art of Butler no longer reads on

said claim limitation, as amended" (pg.10, para.3).

Any comments considered necessary by applicant must be submitted no later than the

payment of the issue fee and, to avoid processing delays, should preferably accompany

the issue fee. Such submissions should be clearly labeled "Comments on Statement of

Reasons for Allowance."

Conclusion

4. Any inquiry concerning this communication or earlier communications from the examiner

should be directed to Thomas Duong whose telephone number is 571/272-3911. The

examiner can normally be reached on M-F 7:30AM - 4:00PM. If attempts to reach the

examiner by telephone are unsuccessful, the examiner's supervisor, Jason D. Cardone

can be reached on 571/272-3933. The fax phone numbers for the organization where

this application or proceeding is assigned are 571/273-8300 for regular communications

and 571/273-8300 for After Final communications.

Thomas Duong (AU2145)

February 1, 2008

/Jason D Cardone/ Supervisory Patent Examiner, Art Unit 2145